

Proposed Annual Action Plan

Program Year 42
October 1, 2016 – September 30, 2017



City of Waterbury
Neil M. O'Leary, Mayor

Waterbury Development Corporation
83 Bank Street, 3rd Floor
Waterbury, CT 06702
www.wdconline.org

Executive Summary

AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

1. Introduction

The Five Year Consolidated Plan for Waterbury covers calendar years October 2013 to September 2018. The following represents the Year Four Action Plan which begins on October 1, 2016 and ends on September 30, 2017 (CD Year 42).

The intent of the Consolidated Plan is to meet three basic objectives: 1) Decent, affordable housing 2) a suitable living environment; and 3) provide economic opportunities. The outcomes of the Consolidated Plan are the provision of 1) availability/accessibility; 2) affordability; and 3) sustainability.

NEEDS ASSESSMENT

In order to establish the objectives and outcomes in this Consolidated Plan, the City of Waterbury completed an extensive needs assessment. This process included consultation with numerous agencies, organizations, and individuals as well as analysis of available reports and data. The needs analysis process identified a range of needs and gaps in resources and services to be addressed with Consolidated Plan resources over the Five Year Strategy Period. The needs are described in detail in the NA-Needs Assessment and MA-Housing Market Analysis Sections of the Consolidated Plan.

2. Summarize the objectives and outcomes identified in the Plan

OBJECTIVES AND OUTCOMES

In response to the needs summarized above, the Waterbury Consolidated Plan contains several goals and anticipated outcomes as described below.

Housing Affordability/Accessibility

- Increase the accessibility to housing resources through a program of rehabilitation, new construction, enforcement of housing codes, and abatement of lead paint hazards.
- Increase housing affordability by providing technical and financial resources to add decent housing units responsive to the income levels prevalent in Waterbury.
- Maintain and increase the availability of housing for the homeless with financial assistance and coordination by the Continuum of Care.
- Increase the supply of three- or more bedroom units for homeless families and supportive permanent housing.
- Provide support for programs and organizations that increase access to affordable goods and materials for a stable residential environment.

Suitable Living Environment/Sustainability

- Support youth initiatives including mentoring to address daily issues as well as educational and life skills attainment in order to succeed in the 21st Century economy and society.
- Provide for the elderly to meet daily living needs as well as socialization within the community including affordable transportation.

- Support the provision of targeted social service programs to address conditions that impact individuals and families as well as the community as a whole.
- Support the improvement of housing conditions with an investment in infrastructure replacement and improvement in order to stabilize and revitalize neighborhoods.
- Continue the school investment program as both an educational and neighborhood improvement initiative.
- Provide basic medical and nutritional resources to sustain low and moderate income persons in the community.

Economic Opportunity

- Make strategic investments in the abatement of hazardous environmental conditions to increase the amount of land and buildings available for economic development.
- Provide technical assistance and support for leveraging financial resources and marketing to assist the transition of Waterbury into a competitive 21st Century economy.

OUTCOMES

The outcomes to address community needs as well as objectives will result from specific programs and investments over the term of the five years covered by this Consolidated Plan. The programs and investments selected for funding in year three were reviewed for consistency with the needs and objectives contained in the five-year plan.

3. Evaluation of past performance

The City chooses its goals and projects in a manner that addresses identified community needs and gaps in services as established during its citizen participation and local consultation process. Programs and projects which are consistent with goals and objectives of the Consolidated Plan are chosen according to community need and an evaluation of the past performance and implementation capacity of the administering agency.

"Past performance" of sub-recipients is taken into consideration in the awarding of annual CDBG and ESG funds. Citizen Advisory Committee (CAC) members who are responsible for the review and evaluation of applications rely on past performance reports in deciding whether to award future funds. WDC staff provides CAC members with the status of all the agencies' expenditures as well as information related to their reporting history or any performance problems such as timeliness and accuracy of invoices and/or reporting documents if applicable.

At the close of each program year the City of Waterbury prepares its Consolidated Annual Performance Evaluation Report (CAPER) which is submitted to HUD within 90 days of the end of the Program Year. The CAPER analyzes the City's use of its annual CDBG, ESG and HOME fund allocations and serves as an evaluation of the City's performance. In general, the City has met its overall goals and timeliness standards with its Consolidated Plan programs.

In addition, the WDC monitors performance of program/project sub-recipients. The WDC has a monitoring plan which is made available to public service sub-recipients. The WDC requires monthly, quarterly and close-out reports of all CDBG public service sub-recipients and performs visits once a year. ESG sub-recipients report quarterly and provide an end of the year close-out report. WDC staff also work with City departments and nonprofits receiving project funds to ensure timely processing of contracts, correct bidding procedures and compliance with all Federal regulations concerning Davis-Bacon wages. Projects are visited several times while they are underway to ensure program compliance.

4. Summary of Citizen Participation Process and consultation process

In order to establish the objectives and outcomes in this Annual Action Plan, the City of Waterbury has completed an extensive needs assessment. This process has included consultation with numerous agencies, organizations, and individuals as well as analysis of available reports and data. Citizen participation has been carried out under the leadership of the Citizens Advisory Committee (CAC) inclusive of public hearings, solicitation of proposed programs, and CAC meetings open to the public. Two public hearings, one on the needs of the community was held on February 10, 2016 and one on the Draft Annual Action Plan will be held on April 12, 2016 during a 30-day public comment period.

DRAFT

Expected Resources

AP-15 Expected Resources – 91.220(c) (1, 2)

Introduction

The City of Waterbury receives federal funds through the U.S. Department of Housing and Urban Development on an annual basis. During the fourth program year of this Five Year Plan the City will receive entitlement allocations in the following amounts:

CDBG: \$1,917,162

ESG: \$170,015

HOME: \$633,968

Priority Table

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 4				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total:\$		
CDBG	public - federal	Acquisition Admin and Planning Economic Development Housing Public Improvements Public Services	\$1,917,162	\$14,000	\$371,055	\$2,302,217	\$1,475,051	Expected amount available assumes a similar annual allocation over the remaining year.

Program	Source of Funds	Uses of Funds	Expected Amount Available Year 4				Expected Amount Available Reminder of ConPlan \$	Narrative Description
			Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total:\$		
HOME	public - federal	Acquisition Homebuyer assistance Homeowner rehab Multifamily rental new construction Multifamily rental rehab New construction for ownership TBRA	\$633,968	\$16,300	\$0	\$650,268	\$550,918	Expected amount available assumes a similar annual allocation over the remaining year.
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	\$170,015	\$0	\$0	\$170,015	\$171,101	Expected amount available assumes a similar annual allocation over the remaining year.

Table 1 - Expected Resources – Priority Table

AP-35 Projects – 91.220(d)

Introduction

Following an application, citizen participation and formal review process the City of Waterbury allocated its CDBG, HOME and ESG resources in a manner that addresses its identified housing and community development needs. The projects and programs selected for funding over the upcoming program year are described briefly in the following table.

#	Project Name
1	CDBG - Administration
2	Brass City Harvest
3	Catholic Charities
4	Center for Human Development
5	Connecticut Legal Services
6	Family Services of Greater Waterbury
7	Greater Waterbury Interfaith Ministries
8	Hispanic Coalition
9	Literacy Volunteers
10	Safe Haven
11	Salvation Army
12	Shakesperience Productions, Inc.
13	Waterbury Senior Shuttle
14	Waterbury Youth Services
15	WOW-NRZ Community Center
16	Boys and Girls Club of Greater Waterbury
17	Brass City Harvest
18	Park Improvements – City Mills Park
19	Park Improvements - Fulton Park

#	Project Name
20	Park Improvements - Hamilton Park
21	Park Improvements - Waterville Park
22	Police Activity League of Waterbury – Facility Improvements
23	Neighborhood Facilities – St. Joseph Community Center
24	Neighborhood Facilities – St. Margaret Willow Plaza
25	ESG15 Waterbury
26	HOME - Administration
27	HOME - CHDO Set Aside
28	HOME - Housing Development

Table 2 – Project Information

Describe the reasons for allocation priorities and any obstacles to addressing underserved needs

The projects were selected to meet identified needs in the community with the resources provided. Limited financial resources with which to finance programs and projects is the greatest obstacle to meeting the municipality's underserved needs.

Projects

AP-38 Projects Summary

Project Summary Information

1	Project Name	CDBG - Administration
	Funding	\$383,432
	Description	Funds will be used for the Administration of the CDBG Program.
2	Project Name	Brass City Harvest
	Target Area	Citywide
	Goals Supported	Provide for Basic Medical and Nutritional Needs
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$16,100
	Description	CDBG funds will be used for the utility and insurance expenses related to year-round food production and distribution, and the direct purchase of fresh food on behalf of income-eligible Waterbury senior citizens. 368 individuals and families are served.
	Estimate the number and type of families that will benefit from the proposed activities	368
Location Description	324 Mill Street and 73 Hill Street, Waterbury, CT	
3	Project Name	Catholic Charities
	Target Area	Citywide
	Goals Supported	Provide Targeted Social Service Programs
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$5,000

	Description	CDBG funds will be used to support the salary of the direct service staff providing case management and crisis resolution services as well as the building expenses (i.e. utilities) necessary to providing services. The program will serve up to 255 unduplicated persons.
	Estimate the number and type of families that will benefit from the proposed activities	255
	Location Description	13 Wolcott Street, Waterbury, CT 06702
4	Project Name	Center for Human Development
	Target Area	Citywide
	Goals Supported	Provide Targeted Social Service Programs
	Needs Addressed	Address Homelessness Provide Public and Supportive Services
	Funding	\$28,000
	Description	This program provides a drop-in center (Hospitality Center) for Homeless Persons. It currently operates weekdays from 7:30am to 1:00pm. Funds will be used for the salary of the community coordinator. An estimated 336 persons will be served annually.
	Estimate the number and type of families that will benefit from the proposed activities	336
	Location Description	693 East Main Street, Waterbury, CT 06706
5	Project Name	Connecticut Legal Services
	Target Area	Citywide
	Goals Supported	Provide Targeted Social Service Programs
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$9,700

	Description	CDBG funds will be used for the salary and fringe of a local staff attorney who will provide legal representation and counsel to the target population under this project. The program will serve an estimated 45 persons.
	Estimate the number and type of families that will benefit from the proposed activities	45 Persons
	Location Description	85 Central Avenue, Waterbury, CT 06702
6	Project Name	Family Services of Greater Waterbury
	Target Area	Citywide
	Goals Supported	Provide for Basic Medical and Nutritional Needs
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$9,500
	Description	CDBG funds will be used to offset the cost of counseling and psychiatric services. There will be 180 beneficiaries on an annual basis.
	Estimate the number and type of families that will benefit from the proposed activities	180
	Location Description	34 Murray Street, Waterbury, CT 06710
7	Project Name	Greater Waterbury Interfaith Ministries
	Target Area	Citywide
	Goals Supported	Provide for Basic Medical and Nutritional Needs
	Needs Addressed	Address Homelessness Provide Public and Supportive Services
	Funding	\$50,674
	Description	CDBG funding will be used for soup kitchen and food pantry food purchases, soup kitchen supplies, direct staff salaries and utilities. There will be 2,815 beneficiaries.

	Estimate the number and type of families that will benefit from the proposed activities	2,815
	Location Description	770 East Main Street, Waterbury, CT 06705
8	Project Name	Hispanic Coalition
	Target Area	Citywide South End
	Goals Supported	Provide for the Elderly Provide Targeted Social Service Programs
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$11,000
	Description	CDBG funds will be used for the salary of a full-time Case Manager. The program provides case management services to the Hispanic population in the City's South End neighborhood. The program serves 185 clients annually
	Estimate the number and type of families that will benefit from the proposed activities	185
	Location Description	135 East Liberty Street, Waterbury, CT 06706
9	Project Name	Literacy Volunteers
	Target Area	Citywide
	Goals Supported	Provide Targeted Social Service Programs
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$5,500
	Description	CDBG funds will be used for salary support to train tutors, register students, provide on-going support to tutors and students, and to purchase educational materials. The program provides literacy tutoring to adults through the efforts of volunteers. The program will serve 229 persons annually.

	Estimate the number and type of families that will benefit from the proposed activities	229
	Location Description	267 Grand Street, Waterbury, CT 06702
10	Project Name	Safe Haven
	Target Area	Citywide
	Goals Supported	Provide Targeted Social Service Programs
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$9,500
	Description	CDBG funds will be used to pay for utilities, office supplies and insurance. This program provides advocacy and counseling for victims of domestic violence and sexual assault including 24 hour intervention. The funds will be used to support program implementation. The program will assist 236 people annually.
	Estimate the number and type of families that will benefit from the proposed activities	236
	Location Description	29 Central Avenue, Waterbury, CT 06702
11	Project Name	Salvation Army
	Target Area	Citywide
	Goals Supported	Provide for Basic Medical and Nutritional Needs
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$9,100
	Description	CDBG funds will be used for a portion of salaries for the Emergency Assistance Case Worker, as well as a smaller portion for the Administrative Assistant who handles all statistics, reporting and applications. A portion will be used to cover food costs. The program will assist 4,550 people annually.

	Estimate the number and type of families that will benefit from the proposed activities	4,550
	Location Description	74 Central Avenue, Waterbury, CT 06702
12	Project Name	Shakesperience Productions, Inc.
	Target Area	Citywide
	Goals Supported	Support Youth Initiatives
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$5,000
	Description	CDBG funds will be used to underwrite the salaries and housing of Resident Artists (2) and the Education Manager who trains and supervises the program, as well as the occupancy expenses for the Shakesperience Studio at the times that the program is conducted. The program will serve 16 youth.
	Estimate the number and type of families that will benefit from the proposed activities	16
	Location Description	117 Bank Street, Waterbury, CT 06702
13	Project Name	Waterbury Senior Shuttle
	Target Area	Citywide
	Goals Supported	Provide for the Elderly
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$109,500

	Description	CDBG funds will be used for on demand response transportation for senior citizens. Trips are for medical appointments, grocery shopping and recreation. Funds will be used for transportation costs to provide up to 850 rides per month. This program provides curb to curb and door to door transportation service with medical appointments as a priority. The program serves 400 people annually.
	Estimate the number and type of families that will benefit from the proposed activities	400
	Location Description	83 Bank Street, Waterbury, CT 06702
14	Project Name	Waterbury Youth Services
	Target Area	Citywide
	Goals Supported	Support Youth Initiatives
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$9,500
	Description	CDBG funds will be used to increase the capacity of case management services, including truancy prevention and counseling, by increasing the hours for a case manager and partnering with the Waterbury Department of Education to provide an out of school suspension program for middle school youth. The program will serve 240 youth.
	Estimate the number and type of families that will benefit from the proposed activities	240
	Location Description	83 Prospect Street, Waterbury, CT 06702
15	Project Name	WOW Community Center
	Target Area	Walnut Orange Walsh
	Goals Supported	Support Youth Initiatives
	Needs Addressed	Provide Public and Supportive Services
	Funding	\$9,500

	Description	CDBG funds will be used for utilities and insurance. This program serves neighborhood youth with afterschool activities and education in its learning center. It also provides information on social programs and housing issues to the general neighborhood population. The program will serve 24 youth annually.
	Estimate the number and type of families that will benefit from the proposed activities	24
	Location Description	308 Walnut Street, Waterbury, CT 06704
16	Project Name	Boys and Girls Club of Greater Waterbury
	Target Area	Citywide
	Goals Supported	Provide Infrastructure & Facility Improvements
	Needs Addressed	Provide or Improve Existing Community Facilities Provide Public and Supportive Services
	Funding	\$32,332
	Description	Funds will be used to purchase and install new bottom cabinets with a counter and three bay sink and new pantry shelving for the kitchen.
	Estimate the number and type of families that will benefit from the proposed activities	1,000
	Location Description	1037 East Main Street, Waterbury, CT 06705
17	Project Name	Brass City Harvest South End Vo-Ag Greenhouse
	Target Area	Citywide
	Goals Supported	Provide Infrastructure & Facility Improvements
	Needs Addressed	Provide or Improve Existing Community Facilities
	Funding	\$135,000

	Description	CDBG funds will be utilized to cap the lot with concrete in order to attenuate any potential contamination and to anchor the proposed greenhouse and its facilities. A cost estimate of \$135,000 is based upon current per-foot construction estimates of similar polycarbonate facilities with utility connections, outfitting, and a small bathroom.
	Estimate the number and type of families that will benefit from the proposed activities	200
	Location Description	278 Mill Street, Waterbury, CT 06706
18	Project Name	Park Improvements – City Mills Park
	Target Area	CDBG Income-Eligible Target Neighborhoods
	Goals Supported	Provide Infrastructure & Facility Improvements
	Needs Addressed	Provide or Improve Existing Community Facilities
	Funding	\$192,500.00
	Description	CDBG funds will be used to demolish the blighted bathroom and replace it with a new public bathroom
	Estimate the number and type of families that will benefit from the proposed activities	6,517
	Location Description	99 City Mills Lane, Waterbury, CT 06704
19	Project Name	Park Improvements – Fulton Park
	Target Area	CDBG Income-Eligible Target Neighborhoods
	Goals Supported	Provide Infrastructure & Facility Improvements
	Needs Addressed	Provide or Improve Existing Community Facilities
	Funding	\$383,159
	Description	CDBG funds will be used to reconstruct the Fulton Park wading pool, install new perimeter fencing, sidewalks, fountain and landscaping.

	Estimate the number and type of families that will benefit from the proposed activities	19,363
	Location Description	438 Cooke Street, Waterbury, CT 06710
20	Project Name	Park Improvements – Hamilton Park
	Target Area	CDBG Income-Eligible Target Neighborhoods
	Goals Supported	Provide Infrastructure & Facility Improvements
	Needs Addressed	Provide or Improve Existing Community Facilities
	Funding	\$82,720
	Description	CDBG funds will be used to replace the fence and bleachers serving the football and soccer fields at Hamilton Park.
	Estimate the number and type of families that will benefit from the proposed activities	9,575
	Location Description	110 Hamilton Park Road, Waterbury, CT 06705
21	Project Name	Park Improvements - Waterville Park
	Target Area	CDBG Income-Eligible Target Neighborhoods
	Goals Supported	Provide Infrastructure & Facility Improvements
	Needs Addressed	Provide or Improve Existing Community Facilities
	Funding	\$247,500
	Description	CDBG funds will be used to demolish the blighted bathroom and storage room and replace them with a new public bathroom and storage room.
	Estimate the number and type of families that will benefit from the proposed activities	2,815
	Location Description	1522 Thomaston Avenue, Waterbury, CT 06704

22	Project Name	Police Activity League of Waterbury - Facility Improvements
	Target Area	Citywide CDBG Income-Eligible Target Neighborhoods
	Goals Supported	Provide Infrastructure & Facility Improvements
	Needs Addressed	Provide or Improve Existing Community Facilities
	Funding	\$180,000
	Description	CDBG funds will be used for the installation of an updated HVAC system at the PAL Recreation Center
	Estimate the number and type of families that will benefit from the proposed activities	4,800
	Location Description	64 Division Street, Waterbury, CT 06704
23	Project Name	Neighborhood Facilities - St. Joseph Community Center
	Target Area	Citywide CDBG Income-Eligible Target Neighborhoods
	Goals Supported	Provide Infrastructure & Facility Improvements
	Needs Addressed	Provide or Improve Existing Community Facilities
	Funding	\$300,000
	Description	CDBG funds will be used for pre-development (architectural drawings, environmental, and legal costs), and renovation of the auditorium, gymnasium, and bowling alley. The renovation includes the replacement of the existing roof, wood windows and doors; new heating and air conditioning, sprinkler, and plumbing systems; abatement of hazardous materials; new toilet rooms; kitchen; and a handicap lift to the entrance of the center.
	Estimate the number and type of families that will benefit from the proposed activities	5,000
	Location Description	29 John Street, Waterbury, CT 06708

24	Project Name	Neighborhood Facilities - St. Margaret Willow Plaza
	Target Area	Citywide CDBG Income-Eligible Target Neighborhoods
	Goals Supported	Provide Infrastructure & Facility Improvements
	Needs Addressed	Provide or Improve Existing Community Facilities
	Funding	\$64,000
	Description	CDBG funds will be used to install a steel fence and four gates on the front of the Community Center building.
	Estimate the number and type of families that will benefit from the proposed activities	340
	Location Description	60 Elmwood Avenue, Waterbury, CT 06710
25	Project Name	ESG16 Waterbury
	Target Area	Citywide
	Goals Supported	Increase Housing Affordability Maintain and Increase Housing for Homeless
	Needs Addressed	Address Homelessness
	Funding	\$170,015
	Description	The 2016 Federal Fiscal Year allocation of ESG funds for the City of Waterbury.
	Planned Activities	With the use of its Emergency Solutions Grant funding the City of Waterbury will provide operational support to three (3) area shelters Safe Haven, Salvation Army and St. Vincent DePaul. Funds will also be used to provide rapid re-housing activities through the Salvation Army and St. Vincent DePaul facilities. The Salvation Army will also provide a homelessness prevention program. A portion of the funding will also be used to provide administrative support for the ESG program.
26	Project Name	HOME - Administration

	Funding	\$63,397
	Description	Funds will be used to cover administrative expenses associated with the HOME program.
27	Project Name	HOME - CHDO Set Aside
	Goals Supported	Increase Accessibility to Housing Resources Increase Housing Affordability
	Needs Addressed	Reduce Cost Burden Rehabilitate Existing Housing Stock
	Funding	\$95,095
	Description	Funds will be utilized to provide pre-development and development assistance to Community Housing Development Organizations (CHDOs). Funds will be allocated as sub-grants on an as-needed basis under a separate on-going application process.
28	Project Name	HOME - Housing Development
	Target Area	Citywide CDBG Income-Eligible Target Neighborhoods
	Goals Supported	Increase Accessibility to Housing Resources Improve Housing Conditions & Eliminate Blight
	Needs Addressed	Reduce Cost Burden Reduce Lead Hazards Rehabilitate Existing Housing Stock Provide Supportive Housing
	Funding	\$475,476
	Description	Funds will be used to provide loans and grants in support of housing development and redevelopment projects. Funds will be allocated as sub-grants in support of rehabilitation or new construction rental or homeownership projects under a separate on-going application process for projects of merit meeting HOME requirements. If realized during the Program Year, any anticipated Program Income (\$16,300) will be added to this account to be utilized to support housing projects.